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I.D.S.

PATENT APPLICATION  
DOCKET NO. 1588.GLE.PT

TECH CENTER 1600/2000

JAN 03 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Günter Feix, et al.

SERIAL NO.: 09/786,835

FILED: 11 September 1999

FOR: REGULATORY SEQUENCES  
FOR ROOT SPECIFIC OR  
ROOT ABUNDANT GENE  
EXPRESSION IN PLANTS

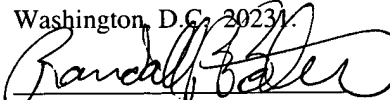
ART UNIT:

EXAMINER:

DOCKET NO.: 1588.GLE.PT

CERTIFICATE OF DEPOSIT  
UNDER 37 C.F.R. § 1.8

I hereby certify that this  
correspondence is being deposited  
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Commissioner for Patents,  
Washington, D.C. 20231.

  
Randall B. Bateman

7-10-01  
Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491 in an international application or before the mailing date of a first Office action on the merits and requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of these references may be "prior

Assistant Commissioner for Patents  
July 10, 2001  
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art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

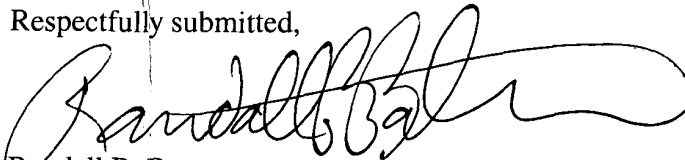
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0881.

DATED this 10 day of July, 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Randall B. Bateman", with a large, sweeping flourish extending to the right.

Randall B. Bateman  
Attorney for Applicant  
Registration No. 37,774

Assistant Commissioner for Patents  
July 10, 2001  
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